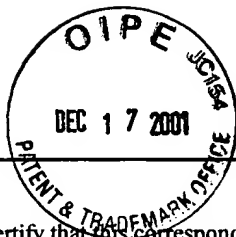


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CERTIFICATE OF MAILING

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Anthony D.E. Parisi
Attorney for Applicant(s)

PATENT

Docket No. 2807.2.22.27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael H. Myers et al.

Serial No.: 09/872,405

Filing Date: June 1, 2001

For: REPLICATED-SPECTRUM PHOTONIC
TRANSCIVER

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Art Unit:
2633

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

This Information Disclosure Statement discloses information which has come to the attention of Applicants and/or their attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), this Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither Applicants nor their attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

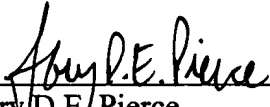
In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and is accompanied by:

1. A completed copy of Form PTO-1449 listing the patents, publications and other information being submitted for consideration; and
2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Form PTO-1449.

The patents, publications and other items of information listed above as Nos. 1-153 were previously cited by and/or submitted to the U.S. Patent and Trademark Office in Applicant's prior application Serial No. 09/810,910 (filed March 16, 2001 for "PHOTONIC WAVELENGTH SHIFTING APPARATUS"), which is being relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with 37 C.F.R. § 1.98(d), therefore, copies of these patents, publications and other items of information are not being submitted with this Statement.

DATED this 9th day of November, 2001.

Respectfully submitted,



Gary D.E. Pierce
Reg. No. 38,019
Attorney for Applicant(s)

Date: November 9, 2001

PATE PIERCE & BAIRD
Bank One Tower
50 West Broadway, Suite 900
Salt Lake City, Utah 84101
Telephone: (801) 530-5090



FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. 09/872,405	ATTORNEY DOCKET NO. 2807.2.22.27
	FILING DATE June 1, 2001	GROUP ART UNIT 2633
	APPLICANT(S): Michael H. Myers et al.	

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REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

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	A2	6,178,036	01/23/2001	Yao	359/334	01/14/98
	A3	6,172,790	01/09/2001	Tiemann et al.	359/245	02/15/00
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	A5	6,169,626	01/02/2001	Chen et al.	359/279	06/29/99
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	A7	6,137,927	10/24/2000	Keck et al.	385/24	12/18/98
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	A15	6,115,162	09/05/2000	Graves et al.	359/173	01/10/95
	A16	6,115,122	09/05/2000	Bao et al.	356/345	04/05/99
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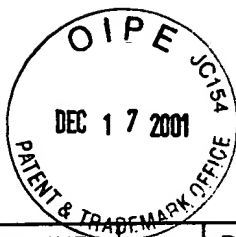
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	A35	6,021,309	02/01/2000	Sherman et al.	455/12.1	05/22/97
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	A109	5,351,146	09/27/1994	Chan et al.	359/118	03/01/93
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	A113	5,301,058	04/05/1994	Olshansky	359/188	12/31/90
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	A115	5,265,112	11/23/1993	Noll et al.	372/32	07/27/92
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	A119	5,166,822	11/24/1992	Priatko et al.	359/287	05/22/91
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	A121	5,153,933	10/06/1992	Smith et al.	385/27	02/26/90
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	A124	5,134,509	07/28/1992	Olshansky et al.	359/132	06/28/90
	A125	5,101,450	03/31/1992	Olshansky	385/3	01/23/91
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	A127	5,095,480	03/10/1992	Fenner	370/94.1	06/16/89
	A128	5,081,712	01/14/1992	Meissner	359/191	06/05/90
	A129	5,068,864	11/26/1991	Javan	372/32	05/04/90
	A130	5,063,568	11/05/1991	Chiba et al.	372/32	08/01/89
	A131	5,058,101	10/15/1991	Albanese et al.	359/127	01/16/91
	A132	5,050,952	09/24/1991	Fussgäger	359/114	03/30/90
	A133	5,029,978	07/09/1991	Curtis et al.	350/96.29	08/14/89
	A134	5,023,949	06/11/1991	Auracher et al.	455/618	09/20/89
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	A137	4,989,200	01/29/1991	Olshansky et al.	370/3	12/22/88

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	A139	4,904,038	02/27/1990	Chang	350/96.14	05/30/84
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	A141	4,893,352	01/09/1990	Welford	455/610	06/30/87
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	A144	4,833,681	05/23/1989	Akiyama et al.	372/32	12/03/86
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	A149	4,729,620	03/08/1988	Pavlath	350/96.15	05/25/84
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	A151	4,625,333	11/25/1986	Takezawa et al.	455/612	07/18/85
	A152	4,301,543	11/17/1981	Palmer	455/612	02/20/80
	A153	4,262,362	04/14/1981	Kiernan et al.	455/612	10/18/78

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